1FW

OIPE COLL 2 3 2004 COLL 2 3 20

## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of	Examiner: Not Assigned		
Naoki Hanashima and Reio Mochida	Group Art Unit: 2864		
Serial No.: 10/810,392	Confirmation No.: 8602		
Filed: March 26, 2004	Customer No.: 22430		
For: OPTICAL WAVEGUIDE AND METHOD OF FABRICATING THE SAME )	INFORMATION DISCLOSURE STATEMENT		
Atty. Docket No.: WASH5920			

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached form PTO/SB/08A and request them to be considered by the Examiner. Copies of the references cited on the attached are enclosed herewith.

This information disclosure statement is being filed under 37 C.F.R. §1.97(b)(3), therefore, no fee is due with this communication.

Respectfully submitted,

Date: July 19, 2004

By: Alan W. Young

Attorney for Applicant

Registration No. 37,970

YOUNG LAW FIRM, P.C. 4370 Alpine Rd., Ste. 106 Portola Valley, CA 94028

Tel.: (650) 851-7210 Fax: (650) 851-7232

## **CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8**

I hereby certify that these papers are being deposited with the United States Postal Service as first class mail with sufficient postage addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on July 19, 2004.

NfM Cles
Nita J. Miller

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

TIGHT & FRANK

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known			
Application Number	10/810,392		
Filing Date	March 26, 2004		
First Named Inventor	Naoki Hanashima		
Art Unit	2874		
Examiner Name	Not Assigned		
Attorney Docket Number	WASH5020		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	-	US-			1.3-100177
		US-			
		ŪS-			
		US-			
		US-			
		US-			
,		US-			
		US-			
-		US-		· · · · · · · · · · · · · · · · · · ·	
		US-			
	<u> </u>	US-			

Examiner Initials*	Cite No.1	FORE Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			T <sup>®</sup>
	1	JP-2003-014959	01-15-2003	The Furukawa Electric Co. Ltd.		
	2	JP-10-142436	05-29-1998	Mitsubishi Cable Ind Ltd.		
	3	JP-2002-189139	07-05-2002	Sumitomo Electric Ind Ltd.		

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.